



12-15-99

SECTOR
H

I hereby certify that this ~~Patent~~ ^{Patent} is being deposited with the
United States Postal Service as "Express Mail Post Office to Addressee"
in an envelope addressed to: Commissioner for Patents and Trademarks,
Attention: Box Missing Parts, Washington D.C. 20231, on December 14, 1999
Date of Deposit
Express Mail No. EL408829854US

BARNES & THORNBURG

10 South LaSalle Street
2600 Chase Plaza
Chicago, Illinois 60603
(312) 357-1313

PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group: 1614 }
Applicant: Suad Efendic }
Attorney Docket }
No: 3051/90334 }
Invention: USE OF GLP-1 ANALOGS IN }
TREATMENT OF STOKE }
Serial No: 09/400,802 }
Filed: September 22, 1999 }
Examiner: NA }

TRANSMITTAL OF MISSING PARTS

Commissioner for Patents and Trademarks
Washington, D.C. 20231

Attention: Box Missing Parts

In response to the Notice to File Missing Parts mailed October 15, 1999, enclosed is the signed Declaration and Power of Attorney from the inventor and a check for \$1,150.00.

Further, on the Filing Receipt please (1) correct the spelling of the applicant's name from "Saud" to "Suad," (2) insert his address as Lidingo, Sweden, and (3) insert information re priority claimed: "Continuing Data as claimed by Applicant - This application is a CON of 60/101,719 filed September 24, 1998." Please charge any additional fees due to Barnes & Thornburg Deposit Account number 10-0435.



Respectfully submitted,

Alice O. Martin

Alice O. Martin

Reg. No. 35,601

Attorney for Applicant

AOM/pp

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" in an envelope addressed to: Commissioner for Patents and Trademarks, Washington D.C. 20231, on December 14, 1999

Date of Deposit
Express Mail No. EL408829834US

BARNES & THORNBURG



10 South LaSalle Street
2600 Chase Plaza
Chicago, Illinois 60603
(312) 357-1313

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Suad Efendic

Attorney Docket

No. 3051/90334

Invention: USE OF GLP-1 ANALOGS IN
TREATMENT OF STROKE

Serial No: 09/400,802

Filed: September 22, 1999

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sirs:

A copy of the official filing receipt for the above-identified application is attached. Please (1) correct the spelling of the applicant's name from "Saud" to "Suad," (2) insert the applicant's address as Lidingo, Sweden, and (3) insert information re priority claimed: "Continuing Data as claimed by Applicant - This application is a CON of 60/101,719 filed September 24, 1998."

Accordingly, it is respectfully requested that a corrected filing receipt be issued showing the applicant's corrected name, address and priority information. Please send such corrected filing receipt to us.

Respectfully submitted,

Alice O. Martin
Attorney for Applicant
Registration No. 35,601

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/400,802 | 09/22/99 | 1614 | \$0.00 | 3051-90334 | 2 | 13 | 3 |

RECEIVED

OCT 19 1999

BARNES & THORNBURG

ALICE O MARTIN
BARNES & THORNBURG
2600 CHASE PLAZA
10 SOUTH LASALLE STREET
CHICAGO IL 60603

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

SAUD EFENDIC.

Lidingo, Sweden

SUAD

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/14/99
TITLE

USE OF GLP-1 OR ANALOGS IN TREATMENT OF STROKE

PRELIMINARY CLASS: 514

Continuing Data as claimed by Applicant -
This application is a CON of 60/101,719
filed September 24, 1998

DATA ENTRY BY: DILLON, LAWANDA

TEAM: 03 DATE: 10/14/99

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE ---- The Following Information about the Filing Receipt:

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:
Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| | | | | |
|--------------------|----------|--------------|-----------------------|---------------------------|
| APPLICATION NUMBER | DOCKETED | RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|----------|--------------|-----------------------|---------------------------|

09/20/99 09/22/99 EPENDIC

RECEIVED

\$

3051-90334

BY Kel

OCT 19 1999

DATE 28 Oct 99 0222/1015

BARNES & THORNBURG NOT ASSIGNED

ALI CHED BYRIN
BARNES & THORNBURG
2600 CHASE PLAZA
10 SOUTH LASALLE STREET
CHICAGO IL 60603

1614

DATE MAILED:

10/15/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1150.00

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ 260.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

L. Dillon
Customer Service Center
Initial Patent Examination Division (703) 308-1202